

General Requirements			
Page & Line #s			Question
Page 17, Lines 97-99	GR 01	GR 01	GR 01 - Is a written Leafy Greens Compliance Plan which specifically addresses the Best Practices of the LGMA available for review?
	GR 02	GR 02	GR 02 - Does it specifically address best practices for water, soil amendments, environmental factors, work practices, and field sanitation?
Page 17, Line 100	GR 03	GR 03	GR 03 - Is an up to date producers list with contact and location information available for review?
	GR 04	GR 04	GR 04 - Does the Shipper have a traceability process?
	GR 04a	GR 04a	GR 04a - Does it enable identification of immediate non-transporter source?
	GR 04b	GR 04b	GR 04b - Does it enable identification of immediate non-transporter subsequent recipient?
Page 17, Lines 104-106	GR 05	GR 05	GR 05 - Has the Shipper designated someone to implement and oversee the food safety program?
	GR 05a	GR 05a	GR 05a - Is the name of the individual available?
	GR 05b	GR 05b	GR 05b - Is 24/7 contact information for the individual available?
Records			
Page 17, Lines 110-117	RE 01	RE 01	RE 01 – Were all records required by the Leafy Greens Compliance Plan readily available and accessible for inspection during the audit? (e.g. Logs, Checklist, Spreadsheets, etc.)
	RE 01a	RE 01a	Do they include (as applicable):
	RE 01b	RE 01b	RE 01a – farm name and location
	RE 01c	RE 01c	RE 01b – actual values and observations obtained during monitoring
	RE 01d	RE 01d	RE 01c – an adequate description of the leafy green product
	RE 01e	RE 01e	RE 01d – growing area location (i.e. production location including block and/or lot)
Page 17, Line 118	RE 02	RE 02	RE 02 – Do records indicate they were created at the time the activity was performed?
Page 18, Lines 121-122	RE 03	RE 03	RE 03 – Were the records signed and dated by the person performing the documented activity?
		RE 03a	<u>Have the following records been reviewed, signed and dated by a supervisor or responsible party? :</u> <u>Water records must be reviewed and signed within a week (Records include: ag water microbiological test results, ag water assessments, water treatment monitoring records and records of corrective actions for test that do not meet the water quality criteria)</u>
		RE 03b	<u>On-Farm Soil Amendments within a week (Records include: process control monitoring for on-farm produced soil amendments)</u>
		RE 03c	<u>Training documentation for required training must be reviewed and signed within a week</u>
		RE 03d	<u>Harvest equipment, tools, containers, packing material, buildings (if applicable packing facilities) cleaning and sanitation records in a reasonable timeframe per SOP (i.e. keep a record of the date and method of cleaning and sanitizing equipment)</u>
Page 18, Line 130	RE 04	RE 04	RE04 – Do SOPs require documentation and records to be kept for 2 years?
Personnel Qualifications and Training			
Page 18, Lines 153-154	PE 01	PE 01	PE 01 – Do training records indicate all Did personnel receive training at hire and at least annually thereafter?
Page 19, Lines 161-170	PE 01a	PE 01a	Does the training provided to all personnel who work with leafy greens or supervise those who do include:
	PE 01b	PE 01b	PE 01a – the principles of food hygiene and safety, including recognition of employee health conditions for illness?
	PE 01c	PE 01c	<u>Training and education on infectious illnesses that can be asymptomatic (e.g. cyclosporiasis, hepatitis, salmonellosis, norovirus).</u>
	PE 01d	PE 01d	PE 01b – the importance of health and personal hygiene?
Page 19, Lines 171-180	PE 01e	PE 01e	PE 01c – the standards established in these best practices that are applicable to the employee's job responsibilities?
	PE 01f	PE 01f	Do all harvest personnel receive additional training in:
	PE 01g	PE 01g	PE 01d – recognizing leafy greens that may be contaminated and therefore not be harvested and <u>this includes the potential of cut product to contact the ground/soil.</u>
	PE 01h	PE 01h	PE 01e – inspecting product containers, harvest equipment, and packaging materials to ensure they are working properly and do not pose a product contamination risk?
Page 19, Lines 181-183	PE 02	PE 02	PE 01f– how to correct problems with product containers, harvest equipment, and packaging materials or report problems to supervisors?
	PE 02a	PE 02a	PE 02 – Has a food safety professional / representative for each farm completed the Produce Safety Alliance, "Grower Training" or a standard curriculum recognized by the FDA?
	PE 02b	PE 02b	PE 02a – Grower
	PE 02c	PE 02c	PE 02b – Harvester
Page 19, Lines 184-186	PE 03	PE 03	PE 02c – Cooler/Holder
	PE 03a		PE 03 – Are there records of training events <u>that include the training date, topics covered, and trainee's name</u> ?
	PE 03b		Do the records include:- PE 03a – Training date, topics covered, and trainee's name? PE 03b – Supervisor's signature indicating a record review was performed within a week?

Environmental Assessments			
Pre-Season Assessment			
	OID#	NFW#	Animal Activity
Page 20, Lines 199-201	EA 01	EA 01	EA 01 - Did the assessment indicate that the production area was free from evidence of animal intrusion or the potential risk of intrusion? If EA 01 is answered "NO" then EA 02 - EA 04 will drop down.
Pages 75-76, Table 6	EA 02	EA 02	EA 02 - Was the animal hazard or potential risk of intrusion assessed by Food Safety professional?
	EA 03	EA 03	EA 03 - Was the animal hazard or potential risk of intrusion assessed as a "Low Hazard"?
	EA 03a	EA 03a	EA 03a - If "YES" were corrective actions carried out according to company SOP?
	EA 04	EA 04	EA 04 - Was the animal hazard or potential risk of intrusion assessed as a "Medium/High Hazard"?
	EA 04a	EA 04a	EA 04a - If "YES" were corrective actions formulated?
	EA 04b	EA 04b - N/A	
	EA 04c	EA 04c	EA 04c - If "YES" is documentation available to show that actions were implemented?
	EA 04d	EA 04d	EA 04d - If "YES" are you periodically monitoring the effectiveness of any corrective actions?
Adjacent Land Use			
Pages 75-76, Table 6	EA 05	EA 05	EA 05 - Was the adjacent land area free from compost operations within 400' of the crop edge?
	EA 05a	EA 05a	EA 05a - If "NO" are there mitigation measures, topographical or climate features that indicate that the 400' recommendation should be modified?
	EA 05b	EA 05b	EA 05b - If "NO" are mitigation measures in place and documented?
		EA 06	Is the adjacent land area free from concentrated animal feeding operations (CAFO)? If EA 06 is answered "NO" then EA 07 will drop down.
	EA 06	EA 07	EA 06 - Was the adjacent land area free from concentrated animal feeding operations (CAFO) <u>with more than 1000 head/animals</u> within 1200' of the crop edge?
	EA 06a	EA 07a	EA 06a - If "NO" are there mitigation measures, topographical or climate features that indicate that the 1200' recommendation should be <u>increased or</u> modified?
	EA 06b	EA 07b	EA 06b - If "NO" are mitigation measures in place and documented?
	EA 06c	EA 07c	EA 06c - Did the pre-season assessment indicate address that there is a CAFO that will impact the production location? If "NO" was a rigorous pre-season assessment completed to address the impact of the CAFO? Did it address the following: <u>Information on the CAFO's Best Management Practices?</u> <u>Number of animals within the CAFO?</u> <u>Water source and distribution system for the production location proximate to the CAFO? (e.g. Appendix A)</u>
	EA 07	EA 08	EA 07 - Is the adjacent land area free from non-synthetic soil amendments stored within 400' of the edge of the crop?
	EA 07a	EA 08a	EA 07a - If "NO" has the non-synthetic crop treatment been treated using a validated process and no closer than 30' from the edge of the crop?
	EA 07b	EA 08b	EA 07b - If "NO" are there mitigation measures or topographical features that indicate that the 400' recommendation should be modified?
	EA 07c	EA 08c	EA 07c - If "NO" are mitigation measures in place and documented?
	EA 08	EA 09	EA 08 - Is the adjacent land area free from grazing lands/domestic animals within 30' from the edge of the crop?
	EA 08a	EA 09a	EA 08a - If "NO" are there topographical or climate features that indicate that 30' recommendation should be modified?
	EA 08b	EA 09b	EA 08b - If "NO" are mitigation measures in place and documented?
	EA 09	EA 10	EA 09 - Is the adjacent land area free from any septic leach fields (home or other building) within 30' of the edge of the crop?
	EA 09a	EA 10a	EA 09a - If "NO" are there mitigation measures, topographical or climate features that indicate that 30' should be modified <u>and</u> is too short <u>of</u> a distance?
	EA 09b	EA 10b	EA 09b - If "NO" are mitigation measures in place and documented?
	EA 10	EA 11	EA 10 - Are all well heads at least 200' from untreated manure?
	EA 10a	EA 11a	EA 10a - If "NO" are there topographical or climate features that indicate that 200' is too short <u>of</u> a distance?
	EA 10b	EA 11b	EA 10b - If "NO" are mitigation measures in place and documented?
Adjacent Land Use			
Pages 75-76, Table 6		EA 12	EA 11 - Does documentation justify the buffer zone distance for all surface water sources on the ranch and their separation from untreated manure (raw manure and partially composted manure) as follows?
		EA 12a	EA 11a - 100' for sandy soil with a slope <6%
		EA 12b	EA 11b - 200' for loamy or clay soil with a slope <6%
		EA 12c	EA 11c - 300' for all slopes ≥6%

Environmental Assessments (continued)			
Page 20.		EA 13	EA 12 - Is the adjacent land free from uses or conditions that pose a food safety risk to crops? EA 12a - If "NO" has a risk assessment been conducted to evaluate the risk? EA 12b - If "NO" have corrective measures been put in place and documented?
Recent Field History			
Page 20, Lines 231-23 Pages 75-76, Tab Page 20, Lines 227-230	EA 13 EA 13a EA 13b EA 13c EA 13c (1)	EA 14 EA 14a EA 14b EA 14c EA 14c (1)	EA 13 - Are production blocks free from all of the following: EA 13a - History of flooding within the last 60 days EA 13b - History of grazing on the crop land within the last year EA 13c - History of hazardous activity including but not limited to CAFO, municipal waste, toxic waste, landfill, etc.? EA 14a - EA 14c if any of these are answered "NO" then EA 14c (1) will drop down EA 13c (1) - Were specific actions implemented and documented to mitigate the issue(s)?
Pre-Harvest Assessment			
Page 19, Lines 193-197; Page 20, Lines 198-233	EA 14 EA 14a EA 14b EA 14c EA 14d EA 14e EA 14f	EA 15 EA 15a EA 15b EA 15c EA 15d EA 15e EA 15f	EA 14 - Was a Pre-Harvest Assessment conducted within 7 days for each harvested lot? Did the assessment address the following: EA 14a - Intrusion by animals EA 14b - Flooding EA 14c - Potential contamination materials EA 14d - Condition of water source and distribution system EA 14e - Unexpected adjacent land activity that will pose a risk to food safety EA 14f - Worker hygiene and sanitary facilities Routine monitoring of changes in weather condition or weather events (e.g. severe wind, hail, freeze, excessive rain, or consecutive weather events) during the production period? (See Appendix F) Did the food safety status of the adjacent land remain unchanged since the pre-season assessment was conducted?
Preharvest Assessment - Animal Intrusion			
Page 74, Decision Tree Pages 75-76, Table 6	EA 15 EA 15a EA 15b EA 15c EA 15d EA 15e EA 15f	EA 16 EA 16a EA 16b EA 16c EA 16d EA 16e EA 16f	EA 15 - Did the assessment indicate that the production area was free from evidence of animal intrusion or the potential risk of intrusion? If EA 16f is answered "NO" then EA 16a - EA 16f will drop down. EA 15a - Was the animal hazard or potential risk of intrusion assessed by food safety professional or food safety personnel? EA 15b - Was the animal hazard or potential risk of intrusion assessed as a "Low Hazard"? EA 15c - If "YES" were corrective actions carried out according to company SOP? EA 15d - Was the animal hazard or potential risk of intrusion assessed as a "Medium/High Hazard"? EA 15e - If "YES" were corrective actions carried out per the LGMA requirements? EA 15f - If "YES" is documentation available to show that actions were implemented?
Preharvest Assessment - Unusual or Other Events			
Pages 68-70, Lines 863-936 Page 69, Table 5	EA 16 EA 16a EA 16b EA 16c EA 16d EA 16e	EA 17 EA 17a EA 17b EA 17c EA 17d EA 17e	EA 16 - If pre-harvest ranch assessment indicates that flooding has occurred are the following addressed: EA 16a - Do the records indicate that no fields were flooded at any time during the crop cycle? EA 16b - If production blocks were flooded is there documentation to indicate the extent of flooding and the area of the crop impacted? EA 16c - Was the product left un-harvested? EA 16d - If product was harvested, was a 30' (min) "no harvest" buffer from the high water mark established? EA 16e - Are these remedial activities documented?
Animal Intrusion			
Page 72, Lines 993-999 Pages 77-80, Table 7	EA 17 EA 17a EA 17b EA 17c EA 17d EA 17e EA 17f EA 17g EA 17h	EA 18 EA 18a EA 18b EA 18c EA 18d EA 18e EA 18f EA 18g EA 18h	EA 17 - Is the pre-harvest lot free from all evidence any other type of potential source of contamination? of human pathogen contamination AND the food safety status of the adjacent land remains unchanged since the pre-season assessment was conducted? Does the preharvest assessment indicate the production area was free from any other type of potential contamination? (i.e. potential contamination materials, condition of water source and distribution system, unexpected adjacent land activity that will pose a risk to food safety, worker hygiene and sanitary facilities) If EA 18f is answered "NO" then EA 18a - EA 18h will drop down EA 17a - Was a food safety assessment completed? EA 17b - Is the individual who conducted the assessment identified? EA 17c - Is the date of the assessment documented? EA 17d - Were remedial actions formulated? EA 17e - Was the field harvested? EA 17f - Is there documentation to show the remedial actions were followed? EA 17g - Did the remedial action include creation of "no harvest" buffer or separation zones around the potentially contaminated area(s)? EA 17h - Is documentation which fully delineates the potential contamination available for review?

Environmental Assessments (continued)			
Assessment of Produce Field			
Page 20, Lines 222-22	EA 18		EA 18—Did the assessment indicate there were no changes in weather condition or weather events (e.g. severe wind, hail, freeze, excessive rain, or consecutive weather events) during the production period?—
Page 20, Lines 202-206	EA 18a		EA 18a—If "NO", did the assessment indicate a possible impact on the crop or operations including environmental sources of contaminants near production locations (i.e. CAFO, dairy, hobby farm and manure or livestock compost facility)?
Page 20, Lines 222-226	EA 19		EA 19—Did the assessment indicate there were no discharge events from environmental sources of contamination (i.e. CAFO, dairy, hobby farm and manure or livestock compost facility) proximate the—
	EA 19a		—EA 19a—If "NO" to EA 18 or EA 19, were corrective actions carried out according to company SOP?
		EA19	<p>If the preseason assessment indicates the production area had a changes in weather condition or weather events during the production period are the following addressed:</p> <p>EA19a Potential impact on the crop or operations?</p> <p>EA19b If the crop or operations were impacted were corrective actions carried out according to Company SOP?</p> <p>EA19c Are there environmental sources of contamination (i.e. CAFO, dairy, hobby farm and manure or livestock compost facility) proximate the production location?</p> <p>EA19d If there are environmental sources of contamination proximate the production location was the production area evaluated for any discharge events or other potential impact on the crop or operations?</p> <p>EA19e If the crop or operations were impacted were corrective actions carried out according to Company SOP?</p>
Water Use			
General Agricultural Water Management			
Page 21, Lines 260-264	WU 01 WU 01a WU 01b		<p>WU 01—Is an agricultural water system description (or other documentation) indicating the source(s) of water and distribution system(s) available for review?—</p> <p>WU 01a—Does the description (or other documentation) identify permanent above ground fixtures such that they can be located in the field?</p> <p>WU 01b—Does the map (or other documentation) identify the flow of the water system(s) and production blocks that may be served by the water source(s)?</p>
Pages 21-23, Lines 270-334 (Hazard Analysis Step 1)	WU 02	WU 01	WU 02 - Was an Agricultural Water Assessment completed prior to use for each water system?
		WU 01a	Is an agricultural water system description (or other documentation) indicating the source(s) of water and distribution system(s) available for review?
		WU 01a (1)	For irrigation systems;
		WU 01a (2)	Does the description (or other documentation) identify permanent above ground fixtures such that they can be located in the field?
			Does the map (or other documentation) identify the flow of the water system(s) and production blocks that may be served by the water source(s)?
Page 21, Lines 265-266		WU 01a (3)	Are effluent systems that convey untreated human or animal wastes separated from irrigation water systems?
	WU02a	WU 01b	WU 02a - Was the system, including water source, water storage and water conveyance, evaluated to determined the system type(s) (Type A or Type B)?
Page 23-24, Lines 335-361 (Hazard Analysis)	WU02b	WU 01c	WU 02b - Has the operation established how and when water will be suitably applied for specific uses? <u>irrigation, chemical/nutrient application, dust abatement, equipment cleaning, etc.)</u>
Page 21, Lines 265-266	WU03		WU 03—Are effluent systems that convey untreated human or animal wastes separated from irrigation water systems?
Managing Storage and Conveyance Systems (i.e. Irrigation Water Treatment)			
Page 26, Lines 408-409	WU 04	WU 02	WU 04 - Has an SOP been created for maintenance of ancillary equipment, water storage and conveyance?
			Does the SOP include the following:
	WU 04a	WU 02a	WU 04a - Regularly scheduled visual inspections to ensure that it is in good working order and does not pose a contamination risk to the water system?
Page 26, Lines 410-423	WU 04b	WU 02b	WU 04b - Does the SOP include maintaining water quality by removal of debris, weeds, algae, tule, trash, and sediment within the producer's control?
	WU 04c	WU 02c	WU 04c - Controls for pest access in place and corrective actions outlined if pest infestation occurs?
	WU 04d	WU 02d	WU 04d- Controls identified for the prevention of run-off into water storage and conveyance systems?
	WU 04e	WU 02e	WU 04e - Procedures to ensure standing water does not pose a contamination in place?
	WU 04f	WU 02f	WU 04f - Management of agricultural water system components used to prepare crop amendments to ensure these activities and equipment used are not a source of contamination?
Page 26, Lines 424-428	WU 04g	WU 02g	WU 04g - Practices to ensure water used in aerial applications within the 21 days-to-scheduled harvest are Type A or B->A water systems?
	WU04g (1)	WU 02g (1)	WU 04g (1) - Holding tanks, equipment mounted application tanks, manifolds, boom lines and nozzles are properly maintained and cleaned?
	WU04g (2)	WU 02g (2)	WU 04g (2) - Water treatment chemistry or approach is compatible with the agricultural chemicals being applied?
Page 26, Lines 429-433	WU04h	WU 02h	WU 04h - Establish corrective action procedures for non-compliance scenarios (e.g. contaminated source water, animal intrusion, contaminated run-off, flooding)?
	WU04i	WU 02i	WU 04i - Does the SOP require corrective measures be documented (e.g. cleaning and maintenance activities)?
Overhead Chemical Applications prior to 21 Days of Scheduled Harvest			
		WU 03	<u>Were Overhead Chemical Applications not utilized prior to 21 Days of Scheduled Harvest?</u>
		WU 03a	If "no", did the water used for the applications meet irrigation Type A water quality criteria?
		WU 03b	If "no", did the water used for the applications meet irrigation Type B water quality criteria as outlined in Table 2E (Routine Verification of Microbial Water Quality)?

Overhead Chemical Applications within 21 Days of Scheduled Harvest.

	ddf	WU 04	<p><u>Has an SOP been created for all of the parts of the agricultural water system used in overhead chemical application?</u></p> <p><u>The SOP for overhead applications must address the following:</u></p> <p><u>Water used within 21 days requirement to meet Type A and/or B-A water quality criteria</u></p> <p><u>Holding tanks, equipment mounted application tanks, manifolds, boom lines and nozzles are properly maintained and cleaned?</u></p> <p><u>Water treatment chemistry or approach is compatible with the agricultural chemicals being applied?</u></p> <p><u>Control pest access to equipment during storage and staging</u></p> <p><u>Corrective action procedures for non-compliance scenarios, includes treatment failure, contaminated source water, pest concerns, chemical incompatibility, equipment sanitation concerns)?</u></p> <p><u>Was there documentation of corrective measures, including cleaning activities and maintenance?</u></p>
		WU 05	<p><u>Is there an SOP to address each unique application process to treat water?</u></p> <p><u>The SOP must address the following:</u></p> <p><u>Use initial water treatment assessment to establish treatment parameters, monitoring to ensure consistent delivery and effectiveness (Note: You must reestablish treatment parameters if a material change to the system occurs)</u></p> <p><u>Step-by- step instructions to ensure the water treatment is correctly implemented</u></p> <p><u>Location of water sources</u></p> <p><u>Name, and suggested supplies needed</u></p> <p><u>Sanitizer used and quantity used</u></p> <p><u>Critical limits and operational limits</u></p> <p><u>Water sampling location</u></p> <p><u>Corrective actions if critical limits are not met</u></p> <p><u>Required records</u></p>

Water Use (continued)

Overhead Chemical Applications within 21 Days of Scheduled Harvest.

		WU 06	<u>If Type A water is used, are records available that demonstrate the water used for chemical application meets Type A source water requirements?</u>
		WU 07	<u>Was Type B→A water used for Overhead Chemical Applications within 21 Days of Scheduled Harvest?</u>
		WU 07a (1)	<u>Was a baseline for treated water done for each system (before the 21 day to-scheduled-harvest-period begins)?</u>
		WU 07a (2)	<u>Were there a minimum of three 100 mL samples taken for each overhead application process from different treated water batches.</u>
		WU 07b (1)	<u>Did all samples meet the acceptance criteria - three 100 mL samples with non-detectable generic E. coli?</u>
		WU 07b (2)	<u>Was a minimum of one 100 mL sample taken for routine testing done monthly from a representative ag water system or at the next application event?</u>
		WU 07b (3)	<u>Did all samples meet the acceptance criteria of non-detectable generic E. coli?</u>
		WU 07b (4)	<u>Was your grower/producer notified?</u>
		WU 07b (5)	<u>Was a root cause analysis done to correct the concern?</u>
		WU 07b (6)	<u>If water used within 21 days exceeds the acceptance criteria has been used for crop production was product sampled from all affected lots for STEC, including E.coli O157:H7, and Salmonella, after the last irrigation and prior to harvest?</u>
		WU 07c (1)	<u>Was Ongoing monitoring of the treated water performed at each application event to verify treatment parameters established during the initial set up were being followed ?</u>
		WU 07c (2)	<u>Do records show the water treatment parameters were met?</u>
		WU 07c (3)	<u>Was a corrective action performed to ensure the water treatment was effective before using the water?</u>
		WU 07c (4)	<u>Was a microbiological sample taken to verify the treatment was effective and was documented for the corrective action?</u>
		WU 07c (5)	<u>If the microbiological sample did not meet the acceptance criteria of non-detectable generic E. coli was root cause analysis preformed to correct the treatment process? (Note: It is suggested that the grower/producer is notified)</u>
		WU 07c (6)	<u>If water exceeding the acceptance criteria has been used for crop production was product sampled from all affected lots for STEC, including E.coli O157:H7, and Salmonella, after the last irrigation and prior to harvest?</u>

Irrigation Water from TYPE B Agricultural Water (before and after 21 Days to scheduled harvest)			
Pages 28-29, Table 2A/Figure 2 (Irrigation Water from TYPE B Agricultural Water) Pages 44-46, Table 2E/Figure 5 (Irrigation Water from TYPE B Agricultural Water) Agricultural Water Systems intended	WU 05	WU 08	WU 05 - Was a source water test conducted, for each source of water, within 60 days of first use? Note: Reclaimed water sample results and analysis provided by the water district or provider may be utilized as records of water source testing for verification and validation audits. WU 05a - Are records available to demonstrate that water samples have been collected from each water distribution system on a monthly basis at the next irrigation event if greater than monthly? WU 05b - Do records show that the water samples are taken no less than 18 hours apart? WU 05c - Is the geometric mean less than or equal to 126 MPN/100 mL? WU 05d - Are all individual samples less than or equal to 235MPN/100 mL for overhead application/irrigation 21 days prior to scheduled harvest or 576 MPN/100m ml for any type of water application, except overhead?
			WU 05c or WU 05d answered "NO" then WU 05d (1) - WU 05d (8) will drop down
	WU 05d (1)	WU 08d (1)	WU 05d (1) - Was the water distribution system use discontinued after the tests indicated the water source failed to meet the minimum water quality requirements?
	WU 05d (2)	WU 08d (2)	WU 05d (2) - Was an agricultural water assessment completed on the water source and distribution system for possible contamination?
	WU 05d (3)	WU 08d (3)	WU 05d (3) - Do records show that corrective actions were taken to eliminate the contamination sources?
	Water Use (continued)		
	Irrigation Water from TYPE B Agricultural Water (before and after 21 Days to scheduled harvest)		
	Pages 44-46, Table 2E/Figure 5 Pages 28-29, Table 2A	WU 05d (4)	WU 08d (4)
WU 05d (5)		WU 08d (5)	WU 05d (5) - Did the five samples meet the acceptance criteria - average less than 126 MPN/100 mL (based on rolling geometric mean=5) and all individual samples less than or equal to 235MPN/100 mL for overhead
WU 05d (6)		WU 08d (6)	WU 05d (6) - Do records show the water system was not used while the water quality was inadequate?
WU 05d (7)		WU 08d (7)	WU 05d (7) - If water exceeding the acceptance criteria has been used for crop production was product sampled from all affected lots for STEC, including E coli O157:H7, and Salmonella, after the last irrigation and prior to harvest?
WU 05d (8)		WU 08d (8)	WU 05d (8) - If "NO" or the tests were positive for STEC, including E coli O157:H7, or Salmonella, do records show that the crop was not harvested for human consumption?
WU 06		WU 09	WU 06 - Records show the name of the test laboratory, water source, date, time, location of the sample and method of analysis, and if quantitative, the detection limit?
WU 07			WU 07 - And have they been reviewed within a week by a supervisor or responsible party?
WU 08		WU 10	WU 08 - The generic E.coli testing methodology is specified on the test report and meets any FDA method for quantitative monitoring of water for generic E. coli?
Irrigation Water from TYPE A Agriculture Water Systems Sourced from Public or Private Providers			
Page 30, Table 2A (A1. Baseline Microbial Assessment)	WU 09	WU 11	WU 09 - Is the TYPE A Irrigation water sourced from a public or private providers?
	WU 10	WU 12	WU 10 - Was the public or private provider's most current COA available for review (e.g. may be provided by municipalities, irrigation districts, or other water providers) ?
Pages 30-31, Table 2B/Figure 2A (A2. Initial Microbial Water Quality Assessment and Follow-up Testing)	WU 11	WU 13	WU 11- Was an initial microbial water quality assessment performed at least one-time seasonally for each system (before the 21 day to-scheduled-harvest-period begins)?
	WU 11a	WU 13a	WU 11a - Were three 100 mL samples taken during one irrigation event for the initial microbial water quality assessment and at least one taken from the end of the delivery system?
	WU 11b	WU 13b	WU 11b- Did sampling meet the acceptance criteria - three 100 mL samples from end of delivery system with non-detectable generic E. coli in two of the three 100 mL samples, and the remaining sample no greater than 10 MPN per 100 mL ?
	WU 11b (1)	WU 13b (1)	If WU 1311b answered "NO" then WU 1 1311b (1) - WU 1311b (4) will drop down
	WU 11b (2)	WU 13b (2)	WU 11b (1) - Was an agricultural water assessment and root cause analysis performed prior to the next irrigation event?
	WU 11b (3)	WU 13b (3)	WU 11b (2) - Was follow-up testing conducted (five 100 mL samples during the next irrigation event)?
	WU 11b (4)	WU 13b (4)	WU 11b (3) - Did the five samples meet follow-up testing acceptance criterion - four must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL?
	WU 12	WU 14	WU 11b (4) - If "NO" was the agricultural water system disqualified for Type A usage?
	WU 12a	WU 14a	WU 12- If a material change was made to a system was another initial microbial water quality assessment conducted?
	WU 12b	WU 14b	WU 12a- Were three 100 mL samples with at least one taken from the end of the delivery system taken during one irrigation event for the initial microbial water quality assessment?
Pages 30-31, Table 2B/Figure 2A (A2. Initial Microbial Water Quality Assessment and Follow-up Testing)			WU 12b- Did sampling meet the acceptance criteria - three 100 mL samples from end of delivery system with non-detectable generic E. coli in two of the three 100 mL samples, and the remaining sample no greater than 10 MPN per 100 mL ?
			If WU 142b answered "NO" then WU 142b (1) - WU 142b (4) will drop down
	WU 12b (1)	WU 14b (1)	WU 12b (1) - Was an agricultural water assessment and root cause analysis performed prior to the next irrigation event?
	WU 12b (2)	WU 14b (2)	WU 12b (2) - Was follow-up testing conducted (five 100 mL samples during the next irrigation event)?
	WU 12b (3)	WU 14b (3)	WU 12b (3) - Did the five samples meet follow-up testing acceptance criterion - four must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL ?
WU 12b (4)	WU 14b (4)	WU 12b (4) - If "NO" was the agricultural water system disqualified for Type A usage?	
Page 32, Table 2B/Figure 2B (A3. routine)	WU 13	WU 15	WU 13 - Was a routine verification of microbial water quality performed on each distinct irrigation system at least once during the season?
	WU 13a	WU 15a	WU 13a - AZ LGMA Metrics- Were five samples (three 100 mL samples taken during the routine verification with at least one taken from the end of the delivery system plus two consecutive samples from the prior testing) used to

Water Use (continued)			
Irrigation Water from TYPE A Agriculture Water Systems Sourced from Public or Private Providers			
Page 32, Table 2B/Figure 2B (A3. routine verification of microbial water quality)	WU 13b	WU 15b	AZ LGMA WILL ACCEPT THE CA LGMA SAMPLE PROTOCOL Were three 100 mL samples taken during the routine verification used to evaluate acceptance criterion?
	WU 13b (1)	WU 15b (1)	WU 13b - Did the five <u>three</u> samples meet acceptance criterion - four <u>two</u> must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL?
	WU 13b (2)	WU 15b (2)	AZ LGMA WILL ACCEPT THE CA LGMA ACCEPTANCE CRITERIA CA LGMA: Did the three samples meet the acceptance criteria - non detectable generic E. coli in two of the three 100 mL samples, and the one remaining sample must have levels not greater than 10 MPN per 100 mL?
	WU 13b (3)	WU 15b (3)	If WU 1 5 <u>3</u> b answered "NO" then WU 1 5 <u>3</u> b (1) - WU 1 5 <u>3</u> b (3) will drop down
	WU 13b (4)	WU 15b (4)	WU 13b (1) - Was a Level 1 Assessment performed prior to the next irrigation event?
	WU 13b (5)	WU 15b (5)	WU 13b (2) - Was follow-up testing conducted (five 100 mL samples during the next irrigation event with at least one taken from the end of the delivery system)?
	WU 13b (6)	WU 15b (6)	WU 13b (3) - Did the five samples for the level one assessment meet acceptance criterion - four must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL?
	WU 14		If WU 1 5 <u>3</u> b (3) answered "NO" then WU 1 5 <u>3</u> b (4) - WU 1 5 <u>3</u> b (6) will drop down
	WU 15		WU 13b (4) - Was the agricultural water discontinued for Type A use?
	WU 16		WU 13b (5) - If water exceeding the acceptance criteria has been used for crop production was product sampled from all affected lots for STEC, including E coli O157:H7, and Salmonella, after the last irrigation and prior to harvest?
WU 17	WU 17		WU 13b (6) - If "NO" or the tests were positive for STEC, including E coli O157:H7, or Salmonella do records show that the crop was not harvested for human consumption?
Irrigation Water from TYPE A Agricultural Water Systems Sourced from Private Wells or Regulated Tertiary Treated Recycled Water Supplies			
Page 35 Table 2C/Figure 3A (B1. Baseline Microbial Assessment)	WU 18a WU 18b WU 18c WU 18c (1) WU 18c (2)	WU 18 WU 18a WU 18b WU 18c WU 18c (1) WU 18c (2)	WU 18 - For the purpose of <u>baseline microbial assessment</u> are records of analysis of source water available - historical water test data? WU 18a - Is a self-certification with historical water test data available that states the acceptance criteria has been met with at least one test taken within the last 6 months? WU 18b - If "NO" was the system tested two times, three 100 mL samples at the source, no less than seven days apart prior to using the water in the 21 days-to-scheduled harvest window? WU 18c - Did the sampling meet the acceptance criteria - five of the six total samples have no detectable generic E. coli and the remaining sample has no greater than 10 MPN in 100 mL? If WU 18c answered "NO" then WU 18c (1) - WU 18c (2) will drop down WU 18c (1) - Was an agricultural water assessment and root cause analysis performed? WU 18c (2) - Was the agricultural water system disqualified for Type A usage?
Pages 36-37 Table 2C/Figure 3B (B2. Initial Microbial Water Quality)	WU 19a WU 19b WU 19b (1) WU 19b (2) WU 19b (3) WU 19b (4)	WU 19 WU 19a WU 19b WU 19b (1) WU 19b (2) WU 19b (3) WU 19b (4)	WU 19 - Was an <u>initial microbial water quality assessment</u> performed at least one-time seasonally for each system (before the 21 day to-scheduled-harvest-period begins)? WU 19a - Were three 100 mL samples from the end of the delivery system taken during one irrigation event for the initial microbial water quality assessment? WU 19b - Did sampling meet the acceptance criteria - three 100 mL samples from end of delivery system with non-detectable generic E. coli in two of three 100 mL samples and the remaining sample no greater than 10 MPN c If WU 19b answered "NO" then WU 19b (1) - WU 19b (4) will drop down WU 19b (1) - Was an agricultural water assessment and root cause analysis performed prior to the next irrigation event? WU 19b (2) - Was follow-up testing conducted (five 100 mL samples during the next irrigation event)? WU 19b (3) - Did the five samples meet follow-up testing acceptance criterion - four must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL? WU 19b (4) - If "NO" was the agricultural water system disqualified for Type A usage?
Water Use (continued)			
Irrigation Water from TYPE A Agricultural Water Systems Sourced from Private Wells or Regulated Tertiary Treated Recycled Water Supplies			
	WU 20a WU 20b WU 20b (1) WU 20b (2) WU 20b (3) WU 20b (4)	WU 20 WU 20a WU 20b WU 20b (1) WU 20b (2) WU 20b (3) WU 20b (4)	WU 20 - If a <u>material change</u> was made to a system was another initial microbial water quality assessment conducted? WU 20a- Were three 100 mL samples <u>with at least one taken</u> [missing in Metrics, intent is the same as the other tables,] from the end of the delivery system taken during one irrigation event for the initial microbial water quality assessment? WU 20b - Did sampling meet the acceptance criteria - three 100 mL samples from end of delivery system with non-detectable generic E. coli in two of the three 100 mL samples, and the remaining sample no greater than 10 MPN/100 mL? If WU 20b answered "NO" then WU 20b (1) - WU 20b (4) will drop down WU 20b (1) - Was an agricultural water assessment and root cause analysis performed prior to the next irrigation event? WU 20b (2) - Was follow-up testing conducted (five 100 mL samples during the next irrigation event)? WU 20b (3) - Did sampling meet follow-up testing acceptance criterion - four of the five total samples must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL? WU 20b (4) - If "NO" was the agricultural water system disqualified for Type A usage?
Page 37 Table 2C/Figure 3C (B2. Routine Verification of Microbial Water Quality)	WU 21a WU 21b WU 21b (1) WU 21b (2) WU 21b (3) WU 21b (4)	WU 21 WU 21a WU 21b WU 21b (1) WU 21b (2) WU 21b (3) WU 21b (4)	WU 21 - Was <u>routine verification</u> performed on each distinct irrigation system sampled and tested for generic E. coli at least once during the season with three 100 mL samples at the end of the delivery system? WU 21a - AZ LGMA Method Were five <u>three</u> samples taken during the routine verification from the end of the delivery system plus two consecutive samples from the prior test set <u>to evaluate acceptance</u> AZ LGMA WILL ACCEPT THE CA LGMA SAMPLE PROTOCOL CA LGMA: Were three 100 mL samples taken during the routine verification used to evaluate acceptance criterion? WU 21b - Did the five <u>three</u> samples meet acceptance criterion - four <u>two</u> must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL? AZ LGMA WILL ACCEPT THE CA LGMA ACCEPTANCE CRITERIA CA LGMA: Did the three samples meet the acceptance criteria - non detectable generic E. coli in two of the three 100 mL samples, and the one remaining sample must have levels not greater than 10 MPN per 100 If WU 21b answered "NO" then WU 21b (1) - WU 21b (3) will drop down WU 21b (1) - Was a Level 1 Assessment performed prior to the next irrigation event? WU 21b (2) - Was follow-up testing conducted (five 100 mL samples during the next irrigation event)? WU 21b (3) - Did the five samples for the level one assessment meet acceptance criterion - four must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL? If WU 21b (3) answered "NO" then WU 21b (4) - WU 21b (3) will drop down WU 21b (4) - Was the agricultural water discontinued for Type A use?

WU 21b (5)	WU 21b (5)	WU 21b (5) - If water exceeding the acceptance criteria has been used for crop production was product sampled from all affected lots for STEC, including E coli O157:H7, and Salmonella, after the last irrigation and prior to harvest?
WU 21b (6)	WU 21b (6)	WU 21b (6) - If "NO" or the tests were positive for STEC, including E coli O157:H7, or Salmonella do records show that the crop was not harvested for human consumption?
WU 22	WU 22	WU 22- Records show the name of the test laboratory, water source, date, time, location of the sample and method of analysis, and if quantitative, the detection limit?
WU 22a		WU 22a — And have they been reviewed within a week by a supervisor or responsible party?
WU 22b	WU 22b	WU 22b - The generic E.coli testing methodology is specified on the test report and meets any FDA method for quantitative monitoring of water for generic E. coli and total coliforms?

Irrigation Water from Treated TYPE B->A Agricultural Water Systems			
Page 27 Lines 437-457; Appendix A	WU 23	WU 23	WU 23 - Was an SOP established outlining irrigation treatment and process parameters for irrigation treatment systems based on the Initial Irrigation water Treatment Assessment?
	WU 24	WU 24	WU 24 - Was an Initial Irrigation Water Treatment Assessment performed to establish treatment process parameters prior to 21 days-to-scheduled harvest?
	WU 24a	WU 24a	WU 24a - Was an <u>initial microbial water quality assessment</u> conducted prior to 21 days-to-scheduled harvest?
	WU 24b	WU 24b	WU 24b - Was the assessment repeated if material changes occurred?
Pages 41-42, Tab 2D/Figure 4	WU 25	WU 25	WU 25 - Was <u>routine verification of microbial water quality</u> for each distinct system performed?
Pages 41-42, Tab 2D/Figure 4	WU 25a	WU 25a	WU 25a - If the system is used prior to the 21 days to harvest window, was the irrigation treatment system tested on at least one occasion?
	WU 25b	WU 25b	WU 25b - <u>If the system is used prior to the 21 days to harvest window</u> is sampling (three 100 mL samples) conducted monthly?
Water Use (continued)			
Irrigation Water from Treated TYPE B->A Agricultural Water Systems			
Pages 41-42, Table 2D/Figure 4 (D1. Routine Verification of Microbial Water Quality)	WU 25c	WU 25c	WU 25c - If the system is used within the 21 days to harvest window, was the irrigation treatment system tested on at least two occasions separated by at least three days?
	WU 25d	WU 25d	WU 25d - Did sampling meet the acceptance criteria - three 100 mL samples <u>from end of delivery system</u> with non-detectable generic E. coli in two of the three 100 mL samples, and the remaining sample no greater than 10 MPN per 100 mL?
	WU 25d (1)	WU 25d (1)	If WU 25c or WU 25d answered "NO" then WU 25d (1) - WU 25d (3) will drop down
	WU 25d (2)	WU 25d (2)	WU 25d (1) - Was a Level 1 Assessment performed prior to the next irrigation event?
	WU 25d (3)	WU 25d (3)	WU 25d (2) - Was follow-up testing conducted (five 100 mL samples during the next irrigation event)?
	WU 25d (4)	WU 25d (4)	WU 25d (3) - Did the five samples for the level one assessment meet acceptance criterion - four must have no detectable generic E. coli and the one remaining sample must have levels not greater than 10 MPN/100 mL?
	WU 25d (5)	WU 25d (5)	If WU 25d (3) answered "NO" then WU 25d (4) - WU 25d (6) will drop down
	WU 25d (6)	WU 25d (6)	WU 25d (4) - Was the agricultural water discontinued for Type A use?
	WU 26	WU 26	WU 25d (5) - If water exceeding the acceptance criteria has been used for crop production was product sampled from all affected lots for STEC, including E coli O157:H7, and Salmonella, after the last irrigation and prior to harvest?
	WU 27	WU 27	WU 25d (6) - If "NO" or the tests were positive for STEC, including E coli O157:H7, or Salmonella do records show that the crop was not harvested for human consumption?
			WU 26 - Did all samples meet the data monitoring criteria for Total Coliform - maximum level of no greater than 99 MPN per 100 mL?
			WU 27 - Was there an adequate log reduction (as outlined in Appendix A) in Total Coliforms, based on the untreated water's baseline levels?
Note: If "NO" to WU2 6 or WU27 then continue to monitor for total coliforms and continue to evaluate your irrigation treatment system to identify and correct any failures.			
Page 42, Table 2D (D2. Routine Water Treatment Monitoring)	WU 28	WU 28	WU 28 - Is the water treatment system being monitored when in use for flow rates and treatment related parameters per the SOP (routine water treatment monitoring)?
	WU 29	WU 29	WU 29 - During every irrigation event, treatment-related parameter values such as residual antimicrobial levels, pH, dose settings, UVT, etc. must be documented to demonstrate the system is working as intended?
	WU 30	WU 30	WU 30 - Are USEPA antimicrobial water treatments being used, per the label instructions?
	WU 31	WU 31	WU 31 - Is the system tested for microbial water quality if the monitoring parameters fall outside the acceptable criteria?
	WU 32	WU 32	Was the crop nutrients and/or crop protection materials window not invoked within 21 days to scheduled harvest for overhead irrigation?
	WU 32a	WU 32a	If WU 32 answered "NO" then WU 32a - WU 32c (3) will drop down
	WU 32b (1)	WU 32b (1)	Was it followed by antimicrobial water treatment?
	WU 32b (2)	WU 32b (2)	Was Option 1 selected? If "no" to WU32, .
	WU 32c (1)	WU 32c (1)	Was the crop pre-harvest tested for pathogens from all affected lots for STEC, including E. coli O157:H7 and Salmonella after the last irrigation event?
	WU 32c (2)	WU 32c (2)	If no, or the tests were positive for STEC, including E. coli O157:H7, or Salmonella do records show that the crop was not harvested for human consumption?
	WU 32c (3)	WU 32c (3)	Was Option 2 selected?
	WU 33	WU 33	Was one sample collected pre-treatment as close to the point of use during the irrigation event when crop nutrition/protection chemicals were applied?
	WU 34	WU 34	Was microbial water quality acceptance criteria and action as described in Table X taken?
	WU 35	WU 35	If no, or the tests were positive for STEC, including E. coli O157:H7, or Salmonella do records show that the crop was not harvested for human consumption?
	WU 32	WU 32	WU 32 - If water exceeding the acceptance criteria has been used for crop production within 21 days to scheduled harvest was product sampled from all affected lots for STEC, including E coli O157:H7, and Salmonella, after the last irrigation and prior to harvest?
	WU 32a	WU 32a	WU 32a - If "NO" or the tests were positive for STEC, including E coli O157:H7, or Salmonella do records show that the crop was not harvested for human consumption?
	WU 33	WU 33	WU 33 - Records show the name of the test laboratory, water source, date, time, location of the sample and method of analysis, and if quantitative, the detection limit?
	WU 34	WU 34	WU 34 - And have they been reviewed within a week by a supervisor or responsible party?
	WU 35	WU 35	WU 35 - The generic E.coli testing methodology is specified on the test report and meets any FDA method for quantitative monitoring of water for generic E. coli and total coliforms?

Water Use (continued)			
Post Harvest Direct Produce Contact, Harvest Food Contact Surfaces and Hand Wash Water (On-Farm Practices Only)			
Pages 48-50, Table 2G/Figure 6	WU 36	WU 36	WU 36 - Is the water that directly contacts edible portions of harvested crop hand wash water or used on food-contact surfaces (i.e. equipment or utensils) from a source that meets the US EPA <u>Maximum Contaminant Level Goal</u>
	WU 36a	WU 36a	WU 36a - If "NO" has the water received sufficient disinfection to meet the USEPA MCLG for microbial quality?
	WU 37		WU 37 - If the water is reused (multi-pass), is sufficient disinfection added and monitored at routine intervals to prevent possible cross-contamination? (e.g. Chlorine more than 1ppm free chlorine and pH 5.5-7.5 or ORP more than 550mV or other approved treatment per product EPA label for human pathogen reduction in water)
	WU 38		WU 38 - If disinfectant is used during rehydration or product cooling in the field, and product cooling (single pass), does the water have breakpoint operation monitor disinfectant present at point of entry and does the operation monitor at routine intervals for disinfectant levels?
Pages 48-50, Table 2G/Figure 6	WU 39	WU 37	WU 39 - Was a source water test conducted for each source of water within 60 days of first use?
	WU 40	WU 38	WU 40 - Are records available to demonstrate that water samples or monitoring results have been collected from each water distribution system within the last month?
	WU 40a	WU 38a	WU 40a - Were the microbial acceptance criteria met?
		WU 38b	Is there a corrective action SOP for harvest direct produce contact, harvest food contact surfaces and hand wash water that does not meet acceptance criteria?
			If WU 3840a is answered "NO" then WU 3840a (1) - WU 38a (8) will drop down
	WU 40a (1)	WU 38b (1)	WU 40a (1) - Was use of the water discontinued after the tests indicated the water source failed to meet the minimum water quality requirements?
	WU 40a (2)	WU 38b (2)	WU 40a (2) - Was an agricultural water assessment completed on the water source and distribution system for possible contamination?
	WU 40a (3)	WU 38b (3)	WU 40a (3) - Do records show that corrective actions were taken per SOP to eliminate the contamination sources?
	WU 40a (4)	WU 38b (4)	WU 40a (4) - Was the water retested at the same sampling point?
	WU 40a (5)		WU 40a (5) - Was one water test taken daily (not less than 18 hours apart) for 5 days at the point closest to use?
	WU 40a (6)	WU 38b (5)	WU 40a (6) - Did the see 6 test results meet the acceptance criteria - non-detectable per U.S. EPA Maximum Contaminant Level Goal (MCLG) for E. coli (e.g. less than 2.2 MPN/100 mL)?
	WU 40a (7)	WU 38bb (6)	WU 40a (7) - Do records show the water was not used while the water quality was inadequate (e.g. records for a change in the water source)
	WU 40a (1)	WU 38b (7)	WU 40a (8) - If water exceeding acceptance criteria has been used for crop production was product sampled from all affected lots for STEC, including E. coli O157:H7, and Salmonella?
	WU 41	WU 38b (8)	WU 41 - Records show that the crop was not harvested for human consumption when the tests were positive for STEC, including E. coli O157:H7, or Salmonella?
	WU 42		WU 42 - Show the name of the test laboratory, water source, date, time, location of the sample and method of analysis, and if quantitative, the detection limit?
	WU 43		WU 43 - And have they been reviewed within a week by a supervisor or responsible party?
	WU 44		WU 44 - The generic E. coli testing methodology is specified on the test report and meets any FDA method for quantitative monitoring of water for generic E. coli?
		WU 39	If the water is reused (multi-pass), is sufficient disinfection added and monitored at routine intervals to prevent possible cross-contamination? (e.g. Chlorine more than 1ppm free chlorine and pH 5.5-7.5 or other approved treatment per product EPA label for human pathogen reduction in water)
		WU 40	if disinfectant is used during rehydration or product cooling in the field (single pass), does the water have breakpoint disinfectant present at point of entry and does the operation monitor and test for disinfectant levels?
Post Harvest Water/Municipal & Well Exemptions			
Pages 48-50, Table 2G/Figure 6	WU 45	WU 41	WU 45 - Is the source water from a municipal supply or well?
	WU 45a	WU 41a	WU 45a - Does this source qualify for the 5 consecutive monthly samples below the generic E. coli detection limit on record exemption?
	WU 45b	WU 41b	WU 45b - Is the last sample recorded within 180 days of the audit date?
Moved Down		WU 42	Show the name of the test laboratory, water source, date, time, location of the sample and method of analysis, and if quantitative, the detection limit?
		WU 43	The generic E. coli testing methodology is specified on the test report and meets any FDA method for quantitative monitoring of water for generic E. coli?

Soil Amendments			
All soil amendments are free from raw or partially composted animal manure and biosolids.			
Page 51-56, Lines 567-570	SA 01	SA 01	SA 01 - Raw or partially composted animal manure, animal by-products or biosolids have not been applied in the last 4 year? SA 01a - If "NO" to the above were any of these fields used in the production of leafy green:
Soil amendments contain composted manure			
Page 53-56, Table 3	SA 02	SA 02	SA 02 - No soil amendment containing fully composted animal manure has been applied to the field within the last year? If SA 02 is answered "NO" then SA 02a - SA 02u will drop down
	SA 02a	SA 02a	SA 02a - Are Process Validation records available for review
	SA 02b	SA 02b	SA 02b - If the Enclosed or Within-Vessel Composting method is used, do the records show
	SA 02c	SA 02c	SA 02c - ...that the active compost maintained a minimum of 131oF for 3 days
	SA 02c (1)	SA 02c (1)	SA 02c (1) - ...Is a Letter of Guarantee ee or other comparable documentation available that shows the soil amendment has been adequately c
	SA 02d	SA 02d	SA 02d - If the Windrow Composting method is used do the records show
	SA 02e	SA 02e	SA 02e - ...that the active compost maintained aerobic conditions for a minimum of 131oF or higher for 15 days or longer?
	SA 02f	SA 02f	SA 02f - ...a minimum of five turnings during this period
	SA 02f (1)	SA 02f (1)	SA 02f (1) - ...Is a Letter of Guarantee ee or other comparable documentation available that shows the soil amendment has been adequately c
	SA 02g	SA 02g	SA 02g - If the Aerated Static Pile Composting method is used do the records show tha
	SA 02h	SA 02h	SA 02h - ...the active compost was covered with 6 to 12 inches of insulating material:
	SA 02i	SA 02i	SA 02i - ...maintain a minimum of 131oF for 3 days
	SA 02i (1)	SA 02i (1)	SA 02i (2) - ...Is a Letter of Guarantee ee or other comparable documentation available that shows the soil amendment has been adequately c
	SA 02j	SA 02j	SA 02j - Has each lot of composted material that is equal to or less than 5000 cubic yards been tested as requir
	SA 02k	SA 02k	SA 02k - Has each lot of composted material been applied to the production location more than 45 days before harv
Soil Amendments			
All soil amendments are free from raw or partially composted animal manure and biosolids.			
Page 53-56, Table 3	SA 02k (1)		SA 02k (1) - For on-farm compost, are process control monitoring records reviewed, dated and signed by supervisor or responsible party within a week after records were r
			Records must be available to document the following criteria have been met for each lot of compost containing animal material used.
			a. Acceptance criteria:
	SA 02l	SA 02l	SA 02l - Fecal coliforms: <1000 MPN/gram
	SA 02m	SA 02m	SA 02m - Salmonella: Negative per sample size of the prescribed tes
	SA 02n	SA 02n	SA 02n - E. coli O157:H7: Negative per sample size of the prescribed tes
			b. Recommended test methods
	SA 02o	SA 02o	SA 02o - Fecal coliforms: U.S. EPA Method 1680: multiple- tube MP
	SA 02p	SA 02p	SA 02p - Salmonella spp: U.S. EPA Method 1682
	SA 02q	SA 02q	SA 02q - E. coli O157:H7: Any laboratory validated method for compost
	SA 02r	SA 02r	SA 02r - Other U.S. EPA, FDA, AOAC, or TMECC-accredited methods may be used as appropriate
			c. Sampling plan
	SA 02s	SA 02s	SA 02s - A composite sample shall be representative and random and obtained as described in the California state regulations.1
	SA 02t	SA 02t	SA 02t - Sample may be taken by the supplier if trained by a testing laboratory or state authorit
	SA 02u	SA 02u	SA 02u - Laboratory must be certified/accredited for microbial testing by a certification or accreditation body.
Soil amendments that do not contain animal manure			
Pages 52, Lines 599-608	SA 03	SA 03	SA 03 - Is a Letter of Guarantee ee or other comparable documentation (ingredient statement, bag label, etc.) available that shows the soil amendment does not contain animal manure or is composed of a single ingredient?
	SA 03a	SA 03a	SA 03a - Is the name of the authority issuing the Letter of Guarantee ee or other comparable document shown?
Soil Amendments			
Soil amendments that contain animal manure that are heat treated or processed by other equivalent methods			
Pages 55-56	SA 04	SA 04	SA 04 - No soil amendment containing animal manure that has been heat treated or processed by other equivalent methods have been applied in the field within the last year? If SA 04 is answered "NO" then SA 04a-SA 04b (16) will drop down
Page 58, Figure 7B Decision Tree	SA 04a	SA 04a	SA 04a - Are process records or other comparable documentation available that show the lethality of the proces
	SA 04b	SA 04b	SA 04b - Is the name of the process authority issuing the Letter of Guarantee ee or other comparable document shown?
			Records must be available to document the following criteria have been met for each lot of heat treated or processed by other equivalent method compost containing animal material used.
			a. Acceptance criteria:
	SA 04b (1)	SA 04b (1)	SA 04b (1) - Fecal coliforms: Negative MPN/gram
	SA 04b (2)	SA 04b (2)	SA 04b (2) - Salmonella: Negative per sample size of the prescribed tes
	SA 04b (3)	SA 04b (3)	SA 04b (3) - E. coli O157:H7: Negative per sample size of the prescribed tes
	SA 04b (4)	SA 04b (4)	SA 04b (4) - Listeria monocytogenes: Negative per sample size of the prescribed tes
			b. Recommended test methods
	SA 04b (5)	SA 04b (5)	SA 04b (5) - Fecal coliforms: 9 tube MPN
	SA 04b (6)	SA 04b (6)	SA 04b (6) - Salmonella spp: U.S. EPA Method 168;
	SA 04b (7)	SA 04b (7)	SA 04b (7) - E. coli O157:H7: Any laboratory validated method for compos

	SA 04b (8) SA 04b (9)	SA 04b (8) SA 04b (9)	SA 04b (8) - Other U.S. EPA, FDA, AOAC, or TMECC-accredited methods may be used as appropriate SA 04b (9) - <i>Listeria monocytogenes</i> : Any laboratory validated method for testing soil amendments
	SA 04b (10) SA 04b (11) SA 04b (12) SA 04b (13)	SA 04b (10) SA 04b (11) SA 04b (12) SA 04b (13)	c. Sampling plan SA 04b (10) - Take at least 12 equivolume samples from 12 or more separate locations or 12 samples from 12 individual bags, if bagged indivi SA 04b (11) - Sample may be taken by the supplier if trained by a testing laboratory or state authority SA 04b (12) - Laboratory must be certified/accredited by a certification or accreditation boi SA 04b (13) - If testing records are NOT available is a Certificate of Process Validity as defined by the "Guidelines" available for revi
Page 56, Table 3	SA 04b (14) SA 04b (15)	SA 04b (14) SA 04b (15)	Application intervals were met: SA 04b (14) - Was this heat treated or processed crop treatment produced using a validated process for pathogen cont SA 04b (15) - If "NO" to above, was the treatment applied at least 45 days before harvest?
Page 56,	SA 04b (16)	SA 04b (16)	SA 04b (16) - If "YES" are process validation records and documentation available to show that the process is capable of reducing pathogens of human health significance to acceptable levels.
Soil Amendments (continued)			
Soil amendments that are Non-Synthetic Crop Treatments (compost teas, fish emulsions, fish meal, blood meal, bio-fertilizers, etc.) Table 4 & Figure 8)			
Page 59:	SA 05	SA 05	SA 05 - No non-synthetic crop treatment has been applied to the crop?
			If SA 05 if answered "NO" then SA 05a - SA 05c (24) will drop down
	SA 05a	SA 05a	SA 05a - If "NO" to the above, the product (non-synthetic soil amendment) was not applied to the edible portion of the crop?
	SA 05b	SA 05b	SA 05b - Is a letter of compliance or comparable document outlining the actual conditions of use and conformance to standards available for review (including presence of animal products or manure)?
	SA 05c	SA 05c	SA 05c - If compost / treated ag tea containing nutrients intended to increase microbial biomass (e.g. molasses, yeast extract, algal powder) is applied to edible portion of the crop, do records indicate that the nutrients were add
			Records must be available to document the following criteria have been met for each lot of non-synthetic crop treatment used.
	SA 05c (1) SA 05c (2) SA 05c (3) SA 05c (4) SA 05c (5)	SA 05c (1) SA 05c (2) SA 05c (3) SA 05c (4) SA 05c (5)	SA 05c (1) - Did each lot/batch used meet the microbial criteria identified below? SA 05c (2) - <i>Fecal coliforms</i> : Negative MPN/gram SA 05c (3) - <i>Salmonella</i> : Negative per sample size of the prescribed te SA 05c (4) - <i>E. coli</i> O157:H7: Negative per sample size of the prescribed te. SA 05c (5) - <i>Listeria monocytogenes</i> : Negative per sample size of the prescribed test
	SA 05c (6) SA 05c (7) SA 05c (8) SA 05c (9)	SA 05c (6) SA 05c (7) SA 05c (8) SA 05c (9)	SA 05c (6) - If this treatment is applied as a liquid was the solution made with water that meets the quality standards for post-harvest water (Table 2G)? Application intervals were met: SA 05c (7) - Was this non-synthetic crop treatment produced using a validated process for pathogen cont SA 05c (8) - If "NO" to above, was the treatment applied at least 45 days before harvest? SA 05c (9) - If "YES" are process validation records and documentation available to show that the process is capable of reducing pathogens of human health significance to acceptable levels.
Pages 60-61, Table 4	SA 05c (10) SA 05c (11) SA 05c (12) SA 05c (13) SA 05c (14) SA 05c (15) SA 05c (16) SA 05c (17) SA 05c (18)	SA 05c (10) SA 05c (11) SA 05c (12) SA 05c (13) SA 05c (14) SA 05c (15) SA 05c (16) SA 05c (17) SA 05c (18)	Acceptable testing methods were followed: SA 05c (10) - Fecal coliforms: Negative MPN/gram SA 05c (11) - <i>Salmonella</i> spp: U.S. E.P.A. Method 1682 SA 05c (12) - <i>E. coli</i> O157:H7: Any laboratory validated method for compost sampling SA 05c (13) - <i>Listeria monocytogenes</i> : Negative per sample size of the prescribed test SA 05c (14) - Other U.S. EPA, FDA, AOAC, or TMECC-accredited methods may be used as appropriate. The proper sampling plan was followed: SA 05c (15) - Solid: 12 point sampling plan composite sample SA 05c (16) - Liquid: Single well-mixed sample per lot SA 05c (17) - Sample may be taken by the supplier if trained by the testing laboratory SA 05c (18) - Laboratory must be certified/accredited by annual review of laboratory protocols based on GLPs by a certification or accreditation b
	SA 05c (19) SA 05c (20) SA 05c (21) SA 05c (22) SA 05c (23) SA 05c (24)	SA 05c (19) SA 05c (20) SA 05c (21) SA 05c (22) SA 05c (23) SA 05c (24)	Testing Frequency: SA 05c (19) - Each lot before application to production field SA 05c (20) - Identify the crop treatment. SA 05c (21) - Show the name of the laboratory completing the testing SA 05c (22) - Show date of application SA 05c (23) - Does it show the date of harvest? SA 05c (24) - Show the supplier name.
Page 51, Lines 574-575	SA 06	SA 06	SA 06 - Is there a written policy implementing management plans (e.g. timing of applications, storage location, source and quality, transport, etc.) that significantly reduce the likelihood that soil amendments being used contain human pathogens and assure to the greatest degree practicable that the use of crop treatments does not pose a significant pathogen contamination hazard?

Worker Practices			
General Requirements			
Pages 66-67, Lines 787-825	WP 01	WP 01	WP 01 - Is there a written policy for all employees and all visitors to the field location which describes the required hygiene rules? Does the Policy address the following:
	WP 01a	WP 01a	WP 01a - Sanitary Facilities
Pages 66-67, Lines 787-825	WP 01b	WP 01b	WP 01b - Field Worker Practices (GMP's, GHP's, etc.)
	WP 01c	WP 01c	WP 01c - Worker Health Practices
Sanitary Facilities			
Page 67, Lines 826-844	WP 02	WP 02	WP 02 - Is there a documented field sanitary facility program? (i.e. SOP) Does the program address the following:
	WP 02a	WP 02a	WP 02a - The number, condition, and placement of field sanitation units complies with applicable state and/or federal regulations.
	WP 02b	WP 02b	WP 02b - Sanitary facilities are readily accessible (proximate) to the work area.
	WP 02c	WP 02c	WP 02c - Sanitary facilities are regularly maintained <u>cleaned and serviced</u> according to schedule.
	WP 02d	WP 02d	WP 02d - Sanitary facilities have sufficient consumable supplies (i.e. hand soap, water that meets the net harvest <u>hand wash</u> acceptance criteria <u>in Table 2G</u> , paper towels, toilet paper, etc.).
	WP 02e	WP 02e	WP 02e - Readily understandable signs are posted <u>to</u> to instruct employees to wash their hands <u>after using the facility before beginning or returning to work</u>
Sanitary Facilities			
Page 67, Lines 826-844	WP 02f	WP 02f	WP 02f - Field sanitation facilities are cleaned and serviced with waste disposed of on a scheduled basis and at a location that minimizes the potential risk for product contamination <u>grey water, black water, overspray/drift or runoff</u>
	WP 02g	WP 02g	WP 02g - Address the placement <u>and transport</u> of the sanitary facility in order to minimize any impact on the crop in the field including:
	WP 02h	WP 02h	WP 02h - Minimize the impact on the crop from leaks and/or spills
	WP 02i	WP 02i	WP 02i - Ability to access the unit for <u>maintenance and cleaning</u> service
	WP 02j	WP 02j	WP 02j - Documented Response plan in the event of major leak and/or spill. <u>(e.g. an SOP and a documented corrective action)</u>
Field Worker Practices (GMPs, GHPs, etc.)			
Pages 66-67, Lines 787-825	WP 03	WP 03	WP 03 - Is there a written worker practices program that establishes employee work rules? Does the program address the following:
	WP 03a	WP 03a	WP 03a - Requirement for workers to wash their hands with soap and water before beginning or returning to work, and any other time when hands may have become contaminated.
	WP 03b	WP 03b	WP 03b - Confine smoking, eating and drinking (except water) to designated areas.
	WP 03c	WP 03c	WP 03c - Storage requirements for personal items in/or adjacent to the field?
	WP 03d	WP 03d	WP 03d - The appropriate use and sanitation of gloves <u>this includes prohibiting the use of personal gloves and taking gloves home.</u>
	WP 03e	WP 03e	WP 03e - Avoid contact with animals
	WP 03f	WP 03f	WP 03f - Prohibitions on spitting, urinating or defecating in the field.
	WP 03g	WP 03g	<u>Requirement for workers' clothing to be clean at the start of the day.</u>
	WP 04	WP 04	WP 04 - For materials targeted for further processing, is there a written physical hazard prevention program?
	WP 04a	WP 04a	Does the program address the following: WP 04a - The proper wearing of head and facial hair restraints.
	WP 04b	WP 04b	WP 04b - The proper wearing of apron and other food safety apparel.
	WP 04c	WP 04c	WP 04c - Removal of visible jewelry (rings, bracelets, necklaces, body piercings, etc.) or covering of hand jewelry prior to the start of work.
	WP 04d	WP 04d	WP 04d - Removal of all objects from upper pockets.
Worker Health Practices			
Page 67, Lines 817-825	WP 05	WP 05	WP 05 - Is there a written worker health practices program that establishes employee work rules? Does the program address the following:
	WP 05a	WP 05a	WP 05a - Workers with diarrheal disease or symptoms of other infectious disease are prohibited from being in the field or handling fresh produce or food-contact surfaces?
	WP 05b	WP 05b	WP 05b - Workers with open cuts or lesions are prohibited from handling fresh produce.
	WP 05c	WP 05c	WP 05c - Actions for employee to take in the event of injury or illness (e.g. notifying supervisor). <u>Instruct personnel to notify supervisors if they may have a health condition that may result in contamination of covered produce or food contact surfaces (e.g. injury or illness).</u>
	WP 05d	WP 05d	WP 05d - A policy describing procedures for handling/disposition of produce or food contact surfaces that have come into contact with blood or other body fluids.

Field Sanitation			
General Requirements			
Pages 66, Lines 789	FS 01	FS 01	FS 01 - Is there a written policy for all employees and all visitors in the field location which describes the required field sanitation SOPs?
Field and Harvest Activities SOP's			
Page 67-68, Lines 846-861	FS 02	FS 02	FS 02 - Is there a written field and harvest activity SOP? Does the SOP address the following: Prohibit ground/soil contact of cut surfaces. FS 02a b- Cross contamination by farming equipment and tools that comes into contact with raw manure, untreated compost, waters of unknown quality, animal hazards or other potential sources. FS 02b - If "YES" does it appropriately restrict the use or require a documented cleaning and sanitation program of the equipment? FS 02c - If cleaning and sanitation is required, are records of the cleaning/sanitation available for review.
Pages 75-76, Table 6	FS 02d	FS 02e	FS 02d - Is there a written SOP for corrective actions for "Low Hazard" animal intrusion?
Page 71, Lines 954-956	FS 02e	FS 02f	FS 02e - Is there a written SOP for production locations that have environmental source of pathogens (i.e. CAFO, dairy, hobby farm and manure or livestock compost facility) and the potential for contamination during weather conditions and events?
Page 66, Lines 778-779	FS 02f	FS 02g	FS 02f- Is there an SOP that addresses waste, trash, and other debris that protects product and production area from contamination?
Page 66, Lines 792-793	FS 02g	FS 02h	FS 02g - Is a specific individual assigned designated as responsible for the food safety compliance with the best practices of the LGMA responsibility for growing operations
	FS 02h	FS 02i	FS 02h - Is a specific individual assigned designated as responsible for the food safety compliance with the best practices of the LGMA responsibility for harvesting?
Daily Harvest Assessment			
Pages 17-18, Lines 110-143; Page 19, Lines 188-191; Page 72, Lines 978-981; Pages 75-76, Table 6	FS 03	FS 03	FS 03 - Is a documented daily food safety harvest assessment available for review? FS 03a - Is the assessment dated? FS 03b - Is the individual who conducted the assessment identified? FS 03c - Are the specific growing blocks associated with the assessment clearly identified? FS 03d - Is the Harvester name and contact information documented? FS 03e - Did the assessment indicate that the production area was free from evidence of animal intrusion or potential risk of intrusion? If FS 03e is answered "NO" then FS 03e (1) - FS 03e (6) will drop down. FS 03e (1) - Was the animal hazard or potential risk of intrusion assessed by food safety professional or food safety personnel? FS 03e (2)- Was the animal hazard or potential risk of intrusion assessed as a "Low Hazard"? FS 03e (3) - If "YES" were corrective actions carried out according to company SOP? FS 03e (4) - Was the animal hazard or potential risk of intrusion assessed as a "Medium/High Hazard"? FS 03e (5) - If "YES" were corrective actions carried out per the LGMA requirements? FS 03e (6) - If "YES" is documentation available to show that actions were implemented?
Page 20, Lines 222-226	FS 03f	FS 03f	FS 03f - Did the daily harvest assessment address indicate there were no changes in weather condition or weather events (e.g. severe wind, hail, freeze, excessive rain, or consecutive weather events) since the last assessment during the production period? If the assessment indicates the production area had a changes in weather condition or weather event during the production period are the following addressed: Potential impact on the crop or operations? If the crop or operations were impacted were corrective actions carried out according to Company SOP? FS 03f (1) If "No", did the assessment indicate a possible impact on the crop or operations including environmental sources of contaminants near production locations (i.e. CAFO, dairy, hobby farm and manure or livestock compost facility)? FS 03g - Did the daily harvest assessment indicate there were no discharge events from environmental sources of contamination (i.e. CAFO, dairy, hobby farm and manure or livestock compost facility) proximate the production location? FS 03g (1) - If "No" to FS 03f (1) i, ii, or FS 03g ii, were corrective actions carried out according to company SOP?
Page 63, Line 682-683	FS 04		FS 04 - Did the daily inspection the food contact surfaces on harvest equipment need to be rinsed and sanitized prior to beginning daily harvest? FS 04a - If "YES" was the food contact surfaces on harvest equipment rinsed and sanitized?

Field Sanitation			
Harvest Equipment, Packing Materials and Buildings			
Page 64, Lines 731-733	FS 05	FS 04	FS 05 - Is there an SSOP for food-contact surfaces of harvest equipment, tools, and utensils and containers?
	FS 05a	FS 04a	Does the SSOP address the following:
	FS 05b	FS 04b	FS 05a - Equipment specific cleaning instructions
	FS 05b (1)	FS 04b (1)	FS 05b - Method and frequency of cleaning and sanitation FS 05b (1) - Food contact surfaces on harvest equipment <u>tools and utensils</u> are cleaned and sanitized at the end of each daily harvest
Page 64, Lines 731-733	FS 05b (2)	FS 04b (2)	FS 05b (2) - Food contact surfaces on harvest equipment <u>tools and utensils</u> are cleaned and sanitized before moving to the next commodity and/or field
	FS 05c	FS 04c	FS 05c - Daily inspection of food contact surfaces on equipment
		FS 04c (1)	Did the Daily inspection of harvest equipment, tools and utensils that was completed prior to beginning harvest address cleaning and sanitation or change in conditions since prior sanitation?
		FS 04c (2)	Did the inspection indicate the equipment do not need to be rinsed and sanitized prior to beginning daily harvest?
		FS 04c (3)	If no, was the equipment rinsed and sanitized prior to beginning daily harvest?
Page 64, Line 734	FS 05d	FS 04c	FS 05d - Chemical usage and record keeping (e.g. soap, detergent, sanitizer, etc.)
	FS 05e	FS 04e	FS 05e - Sanitation Procedures Verification
		FS 04e	<u>Proper cleaning and sanitation for changes in conditions (e.g. weather, pest activity, contact with non-covered PSR produce, etc.)</u>
Page 64, Lines 731-733	FS 06	FS 05	FS 06 - Is there an SOP for non-food-contact surfaces of harvest equipment, <u>and</u> tools, and containers?
	FS 06a	FS 05a	Does the SOP address the following:
	FS 06b	FS 05b	FS 06a- Equipment-specific cleaning instructions
	FS 06c	FS 05c	FS 06b – Method and frequency of cleaning
Page 64, Lines 734-737	FS 06d	FS 05d	FS 06c - Chemical usage and record keeping (e.g. soap, detergent, etc.)
	FS 06e	FS 05e	FS 06d - Cleaning verification
	FS 07		FS 06e - Daily inspection of non-food contact surfaces and equipment
	FS 08	FS 06	FS 07 - Is there an SOP for water tanks, containers and equipment used for hydration?
Page 64, Lines 699-707	FS 08a	FS 06a	FS 08 - Is there an SOP for sanitary operation of <u>harvest</u> equipment?
	FS 08b	FS 06b	Does the SOP address the following:
	FS 08c	FS 06c	FS 08a - Are spills and leaks addressed
	FS 08d	FS 06d	FS 08b - Harvest equipment protection
			FS 08c - Overnight equipment and tool storage
			FS 08d - Does the SOP for Sanitary Operation of <u>Harvest</u> Equipment, address remedial actions?
Page 64, Lines 705-706	FS 07	FS 07	FS 07 - Is there an SOP for water tanks, containers and equipment used for hydration?
Page 44, Lines 693-694	FS 09		FS 09 - Has a supervisor or responsible party signed and dated equipment cleaning and sanitation records within a week of the activities being performed?
Pages 63-64, Lines 693-698	FS 10	FS 08	FS 10 - Is there an SOP/SSOP for handling and storage of product containers?
			Does the SOP address the following:
Pages 63-64, Lines 693-698	FS 10a	FS 08a	FS 10a - Over night storage
	FS 10b	FS 08b	FS 10b - <u>Prohibit</u> contact with the ground
	FS 10c	FS 08c	FS 10c - Container assembly (RPC, fiber bin, plastic bin, etc.)
	FS 10d	FS 08d	FS 10d - Damaged containers
	FS 10e	FS 08e	FS 10e - Use of containers only as intended
		FS 08f	<u>Method and frequency of routine cleaning and sanitation</u>
		FS 08g	<u>Chemical usage and record keeping (e.g. soap, detergent, etc.)</u>
		FS 08h	<u>Daily inspection of containers</u>
		FS 08i	<u>Proper cleaning and sanitation for changes in conditions (e.g. weather, pest activity, contact with non-covered PSR produce, etc.)</u>
Page 65, Lines 744-745	FS 11	FS 09	FS 11 – Are packing materials or containers cleanable or designed for single use?
Page 65, Lines 746-747	FS 12	FS 10	FS 12– Are reusable packing materials or containers cleaned and sanitized or fitted with a clean liner?
Page 65, Line 79	FS 13	FS 11	FS 13- Is there an SOP for chemical storage and chemical content labeling
Page 64, Lines 714-718	FS 14	FS 12	FS 14 – Are instruments or controls used to measure, regulate, or record temperature, hydrogen ion concentration, pH, sanitizer concentration or other conditions:
	FS 14a	FS 12a	FS 14a - Accurate and precise as necessary and appropriate for their intended use?
	FS 14b	FS 12b	FS 14b – Adequately maintained?
	FS 14c	FS 12c	FS 14c – Adequate in number for their intended use?
Page 65, Lines 752-763	FS 15	FS 13	FS 15 – Are there any buildings used to store packing material?
	FS 15a	FS 13a	FS 15a – Does the building have proper drainage and protection from condensate or drips to keep food-contact surfaces from getting wet?
	FS 15b	FS 13b	FS 15b – Are packaging materials and other food-contact surfaces kept separate from contamination sources by partition, time, location, enclosed system, or other effective means?

Transportation			
Page 81, Lines 1034-1042	TR 01	TR 01	TR 01 – Is there an inspection program for equipment and shipping containers used to transport leafy greens from the farm and on the farm?
	TR 01a	TR 01a	TR 01a - Are shipping units and equipment used to transport leafy greens on the farm or from the farm to a cooling, packing, or processing facility part of an inspection program?
	TR 01b	TR 01b	TR 01b – Is the condition of shipping units and equipment checked for cleanliness before being used to ship leafy greens?
Field Observations			
Water Use			
	FO 01	FO WU 01	FO 01 - Are all active and/or inactive water sources <u>and distribution system</u> recorded in the <u>a gricultural water assessment Use Audit?</u>
	FO 01a	FO WU 02	FO 01a - From visual inspection, there is no evidence that the water sources and distribution systems may pose a contamination risk (damage, inadequately maintained, evidence of animal activity, environmental sources of contamination, connection with effluent systems)?
	FO 01b	FO WU 03	FO 01b - No other observations of improper use of water
Soil Amendments			
	FO 02	FO SA 01	FO 02 - No evidence of undocumented use of soil amendments?
	FO 02a	FO SA 02	FO 02a - No evidence of improperly applied soil amendments?
	FO 02b	FO SA 03	FO 02b - No evidence of improperly stored soil amendments?
	FO 02c	FO SA 04	FO 02c - No other observations of improper use of soil amendments
Environmental Factors			
	FO 03	FO EA 01	FO 03 - No evidence of fecal contamination in the production area field?
	FO 03a	FO EA 02	FO 03a - No evidence of animal intrusion or potential risk of intrusion hazards in the production area <u>field?</u>
	FO 03b	FO EA 03	FO 03b - No evidence of non-compliance with distances as outlined in the Environmental Assessment?
	FO 03c	FO EA 04	FO 03c - No evidence that remedial actions have not been implemented such as animal barriers (fences, gates, grades, etc.) are not in good repair and operational?
	FO 03d		FO 03d - No evidence that worker hygiene rules have been violated during the crop cycle?
	FO 03e	FO EA 05	FO 03e - No other observations of environmental risk factors.
Work Practices			
	FO 04	FO WP 01	FO 04 - No employees eating, drinking (except water), chewing tobacco or smoking in crop production actively harvested areas or outside of designated area outlined in the SOP?
	FO 04a		FO 04a - All employees observed to have washed their hands after restroom usage, work breaks or any returning to work occasion?
	FO 04b	FO WP 02	FO 04b - No evidence that sanitary facilities are not routinely clean and operational?
	FO 04c		FO 04c - No evidence that worker hygiene rules have been violated during the crop cycle?
	FO 04d	FO WP 03	FO 04d - No evidence that sanitary facilities are not adequately stocked with disposable supplies?
		FO WP 04	<u>All employees observed to have washed their hands after restroom usage, work breaks or any returning to work occasion?</u>
		FO WP 05	<u>No evidence that worker hygiene rules have been violated during the crop cycle?</u>
	FO 04e	FO WP 06	FO 04e - No improperly stored personal items observed in the field?
	FO 04e		FO 04f - No evidence or observations that employees are not using the restrooms?
		FO WP 07	<u>No evidence that workers practices for further processing have been violated?</u>
	FO 04g	FO WP 08	FO 04g - No employees with uncovered wounds, boils or cuts?
	FO 04h	FO WP 09	FO 04h - No employees with symptoms of infection or contagious disease?
	FO 04i	FO WP 10	FO 04i - No other observations of improper work practices.
Field Sanitation			
		FO FS 01	<u>Are there visitor policies/procedures in place?</u>
	FO 05	FO FS 02	FO 05 - No evidence of excessive non-vegetative debris in the field?
	FO 05a	FO FS 03	FO 05a - No evidence of open and/or unsupervised chemicals in the field? <u>Are chemical containers labeled as to its contents?</u>
		FO FS 04	<u>Are chemicals stored per SOP?</u>
	FO 05b	FO FS 05	FO 05b - No evidence of leaks and spills on equipment in the field?
		FO FS 06	<u>No evidence of equipment is maintained and operational?</u>
	FO 05c	FO FS 07	FO 05c - No evidence of the use of non-sanitized farm equipment that may have come in contact with <u>potential contaminants (e.g. uncovered products as outlined in the PSR</u> raw manure, untreated <u>partially treated</u> compost, waters of unknown quality, wildlife or domestic animals
	FO 05d	FO FS 08	FO 05d - No evidence of other <u>potential</u> cross-contamination <u>potential</u> of product and/or product contact surfaces? (i.e. cut surface of product and contact with the ground/soil)
		FO FS 09	<u>No evidence of potential cross-contamination of equipment or tools with food contact surfaces</u>
		FO FS 10	<u>No evidence of potential cross-contamination of containers and packing materials</u>
	FO 05e	FO FS 11	FO 05e - No other evidence of improper field sanitation.